



CAPITAL MARKETS AND SECURITIES AUTHORITY

SECURITIES INDUSTRY CERTIFICATION COURSE (SICCs) From 06th to 24th February 2017 at the PPF Tower Dar es Salaam International Conference Centre

The Capital Markets and Securities Authority (CMSA) was established by the Capital Markets and Securities Act of 1994 and is charged with the responsibility for developing and regulating capital markets in Tanzania.

Pursuant to section 32 of the Capital Markets and Securities Act (CMS Act) (as amended by Act No 10 of 2010), a person intending to work as a Dealer; a Dealers Representative, an Investment Adviser; An Investment Adviser's Representative; Nominated Advisors Representative (NARs) or any other market intermediary, must attend the CMSA's Certification Program and pass the examination set thereafter.

In its efforts to develop and strengthen capital markets in Tanzania, the CMSA in collaboration with the Chartered Institute of Securities and Investment (CISI), UK will conduct the Securities Industry Certification Course from 06th to 24th February 2017 at the PPF Tower Dar es salaam International Conference Centre.

OBJECTIVES OF THE COURSE

The objective of the course is to train market players and professionals to keep pace with the global market demands in delivery of services and promoting professionalism and expertise in the capital market business. In more specific terms, the objectives of the SICC are: -

- i. to deliver a training programme to potential brokers, dealers representatives, Nominated Advisors (NOMADs), NARs, investment advisers, regulators, stock exchange officials and other market players;
- ii. to ensure that trainees have sufficient understanding of capital markets and business in the EGM segment in order to perform their roles in a way that contributes to making the market orderly, fair and efficient;
- iii. to convey an understanding of the basic and practical requirements for managing EGM companies and securities business;
- iv. to help trainees appreciate that securities business is most likely to flourish in a market that enjoys the trust of both investors and issuers.
- v. To bridge the gap for the increasing need of market professionals given the current and anticipated growth of capital market industry in the country.

WHO SHOULD ATTEND THE COURSE

The course is targeted at professionals intending to offer their services to the securities industry in Tanzania. These include staff of:

1. Broker or Dealer Companies;
1. Nominated Advisor Companies;
2. Investment advisers firms;
3. Pension Funds and Trustees;
4. Portfolio and Asset Management Companies;
5. Banks and Financial Institutions;
6. Investment Companies;
7. Financial Markets Regulators;
8. Stock Exchange;
9. Regulators of Capital Markets, Insurance and Pension Sectors;

10. Listed companies and companies with potential for listing;
11. Professional firms such as Accountants and Auditors, Lawyers etc
12. Other interested institutions.

QUALIFICATIONS OF PARTICIPANTS

The potential participants to this course should have a minimum qualification of a university degree or its equivalent.

APPROACH OF THE COURSE

The course is organised in the form of modules and will be delivered by expert trainers, consultants, regulators and investment advisors on a full time basis. Training will be primarily through lectures. At the end of the three weeks, candidates will sit for an examination.

VENUE

The course is non residential and will take place at the Dar es Salaam International Conference Centre (DICC) located at the PPF Tower, corner of Ohio Street and Garden Avenue.

APPLICATION AND PARTICIPATION FEES

The application fee is TZS 50,000c and the participation fee is TZS 2,000,000 per person. The participation fee covers the tuition, learning materials, lunch and refreshments. Candidates will be responsible for their own travel and accommodation expenses.

Payment for the fees should be deposited in the bank account whose details appear below:

Name of Account: Capital Markets and Securities Authority

Bank: National Microfinance Bank Plc

Branch – Bank House

Account No. 20101100042

Interested candidates should submit their applications and enclose copies of relevant certificates and the deposit slip for the payment of application and participation fees to reach the undersigned not later than 03rd February 2017..

THE CHIEF EXECUTIVE OFFICER

CAPITAL MARKETS AND SECURITIES AUTHORITY

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